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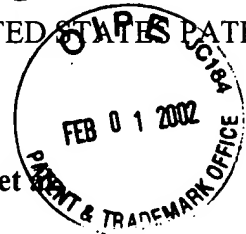
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Patent Docket No. 42390.P7 01

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In Application of:

Dmitri E. Nikonov et

Examiner: Not Yet Assigned

Art Unit: 2874

Application No.: 09/982,227

Filed: October 17, 2001

For: AN INTEGRATED OPTICAL CIRCUIT  
HAVING AN INTEGRATED ARRAYED  
WAVEGUIDE GRATING (AWG) AND  
OPTICAL AMPLIFIER(S)

COPY OF PAPER  
ORIGINALLY FILED

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Dear Sir:

In response to the Notice to File Corrected Application Papers mailed November 19, 2001, Applicant has enclosed a new set of drawings. Please find enclosed four (4) sheets of drawings.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Dated: 1/10/02

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FIRST CLASS CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on: January 10, 2002

Date of Deposit

Sharon E. Farnus

Name of Person Mailing Correspondence

*Sharon Farnus*  
Signature

1/10/02  
Date

09982227-020102



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,227	10/17/2001	Dmitri E. Nikonov	42390.P10601

CONFIRMATION NO. 7399

## FORMALITIES LETTER



\*OC000000007093227\*

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COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 11/19/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*h.c.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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